डॉ. बाबासाहेब आंबेडकर तंत्रशास्त्र विद्यापीठ

Dr. BabasahebAmbedkar Technological University

Established and government by Dr. Babasaheb Ambedkar Technological University Act, 2014
(Mah. Act No. XXIX of 2014)
विद्याविहार, लोणेरे-रायगड ४०२ १०३ (महाराष्ट्र) Vidyavihar, Lonere - Raigad 402 103(Maharashtra)
Tel: (02140) 275142 Website: www.dbatu.ac.in, E-mail: registrar@dbatu.ac.in

DBATU/Reg./LCC/2025/392 Date: 23-01-2025

29 MAR 2025

Notification:

Subject: Constitution of Legal and Compliance Committee

Reference:
1. University Statute S3.181
2. The minutes of the 43rd meeting of Executive Council dated 28/11/2024
3. The minutes of the 44th meeting of Executive Council dated 28/12/2024
4. Approval from Honorable Vice Chancellor dated 23-01-2025

With reference to above mentioned subject and references, the following Legal and Compliance committee is constituted as per Statute S3.181

Sr. No.	Designation	Nomination
01	Chairman	Shree Pramod D Ambekar Retired District Judge
02	Member	Professor (Dr.) Uday S Annapure Senior Professor Institute of Chemical Technology (ICT), Mumbai
03	Member	Dr. Pramod T Kale Retired Senior faculty/HoD Government Polytechnic
04	Member	Advocate Pranav Raichurkar
05	Secretary	The Registrar, DBATU (Ex-officio)

Duties and functions of the Legal and Compliance Committee:

1. The Committee shall oversee adherence to laws, regulations, and policies that pertain to University operations.
2. The Committee shall consider and report or recommend to the Executive Council on matters pertaining to compliance, non-compliance of legal provisions and legal issues
3. The Committee shall monitor legal functions of the University and provide necessary support to the Offices of the Vice Chancellor and the Registrar.
4. The Committee will develop a set of guidelines and criteria for requiring attention, discussion opinion/recommendation of the Committee.
5. Within the Committee's authority and responsibility, the following is a partial but not exclusive list of legal and compliance subject matter areas which shall be considered by the Committee for discussion and/or which the Committee may be asked to review:
    a. Apprehended, threatened or pending litigation involving the University and University officers

b. Legal aspects of compliance issues, Mandatory reporting, State legislative and regulatory issues, Government inquiries and investigations
c. Finance and Investments, Taxes, Auditing and monitoring, Financial aid
d. Health, safety and environment
e. International activities and foreign laws, Export and import controls
f. Employment disputes,
g. Governance, Policy development, administrative agency complaints, Delegation of authority.
h. Academic freedom, Conflicts of interest,
i. Act Amendment issues, Statutes Modifications, Ordinances
j. Discrimination, including harassment
k. Student conduct and other legal issues affecting students, Campus safety and security,
l. Employee misconduct, Employee discipline and demonstrations issues
m. Faculty disputes and investigations
n. Contract matters, including purchasing and services
o. Employment contracts and Employee benefits, Labour matters, Unusual or significant severance or termination arrangements and payments
p. Research grants and contracts, Intellectual property (patents, copyright, trademark), Technology transfer
q. RTI issues, Records management, Privacy, Information technology
r. Risk management issues
s. Vigilance and Whistleblower complaints
t. Real property, facilities, leases, construction
u. Ethics and compliance (E&C) standards, policies and procedures
v. Anonymous reporting mechanisms including the University website
w. Misconduct responses, remediation, Athletic Integrity matters, Institutional Ethics programs and education and ethics investigations protocols

An honorarium/seating allowance of Rs. 8000/- per day for the Chairman and Rs. 5000/- per day for the members along with TA/DA as per the university norms shall be admissible for the meetings of the Legal and Compliance Committee.

The above mentioned arrangements will be in force till any further notification in this matter.

Dr. Babasaheb Ambedkar Tech. University
LONERE
RAIGAD
Maharashtra

(Prof. A W Kiwelekar)
I/c Registrar

Copy for information: to the office of Honorable Vice Chancellor

Copy to:
1. Principal/Director of all Affiliated colleges (through email)
2. Heads of the all University Departments and Sections
3. University Website